

Template for NGO/NIM Auditors to Review and Sign the Updated Action Plans
For Prior Year Audit Observations and Recommendations¹

Audit Report Title: 00056823 - Equal Access to Justice – Phase II

Award No.:		Project No.:		Opinion Type:		Medium							
56823		70053, 76558, 70052, 69885		Medium									
Obs No	Observation	Recommendation	Audit Area	Audit Cause	Risk Severity	Proj/CO Mngt Comments	Action(s) Planned	Target Implement Date	Action Unit	Person Responsible for Action	Updated Status	Actual Impl. Date	Description of Status Update
01.	According to the information made available for the audit, the allocation amounting to Rs 129,763,021 equivalent to US\$ 1,018,707.97 had been made for the period under review and out of that, a sum of Rs 99,210,730 equivalent to US\$ 778,856.41 had only been utilized as at 31 July 2013. Further, the total allocation made for the entire period of the Project was Rs.850,084,200 equivalent to US \$7,740,000 and	Achievement of the Programme objectives to be ensured through amendment of the plan to make use of the balance of the funds within agreed period.	Financial management	Uncertainty of achievement objectives	Medium	This project is now closed. The recommendation is noted for future reference.	As stated in Budget Revision G, the overall actual Project Budget allocation is reflected as USD 7,037,561.87. However the original Project Document had anticipated the Project may require a maximum of USD 7,740,000, which was not fully mobilized. Therefore the real Project Budget is that which is reflected in the Budget Revision which corresponds to the resources mobilized. With	31 December 2014	UNDP CO	Assistant Country Director (ACD) - GESI cluster & Secretary, M/National Language & Social Integration (MINISI).	Complete	January 2014-September 2014	The recommendation was duly noted, and as indicated in the Action Plan the funds were expended to maximum extent possible under the SELASI Programme in 2014 for the identified activities.

¹ Template is based on CARDS Report "NGONIM Audits – R2000 -

02.	The transactions made by the	Confidence on existing internal	Financial Management	Poor manage	Medium	We are aware that the Internal Auditor was	The Ministry of National	30 Septe	UNDP CO	Assistant Country	Not implement	N/A	It was planned to do an internal	
<p>out of that, a sum of Rs. 775,574,133 equivalent to US \$6,656,792 had only been utilized at the end of the Project period.</p>						<p>reference to the USD 6,656,792 as overall project expenditure, it is understood that this is reflected when all finalized Project CDRs for the years 2009, 2010, 2011, 2012 and 2013 are taken together. Furthermore a component of the project resources, at the request of UNDP was retained to be programmed as seed-funding under the third phase of support for Access to Justice vis-à-vis the SELASI Programme from quarter 4 of 2013 onwards. This amount roughly corresponds to the difference between the budget and expenditure for the year 2013. This fund is programmed for 2014 under SELASI. This project is now closed and the recommendation is noted.</p>								

03.	<p>The Attorney General's Department had spent a sum of Rs 1,647,303 on foods and lodgings for participants of two training programmes conducted in March 2013. According to the information made available, 272 officers of the Department participated in such trainings, even though 380 officers were expected to participate. As explained by the Secretary to the Ministry of National Languages and Social Integration, the Attorney General's Department was not able to secure the presence of all officials identified for the training programme due to the constant and unanticipated</p>	<p>Effective mechanism and opportunities to be provided to train anticipated staff of the Department.</p>	<p>Project Progress and rate of Delivery</p>	<p>Uncertainty of achievement objectives</p>	<p>Medium</p>	<p>The objectives of trainings and the number of people required to participate in them needs to be effectively assessed beforehand.</p>	<p>Under future initiatives, where training programmes are carried out by partner institutions, more stringent conditions would be incorporated in the MoUs to ensure relevant the objectives of trainings and the number of people that require to be trained/to participate in the trainings will be effectively assessed.</p>	<p>30 September 2014</p>	<p>UNDP CO</p>	<p>Assistant Country Director (ACD) - GESI cluster & Secretary, M/National Languages & Social Integration (M/NLSI).</p>	<p>Implemented</p>	<p>Jan – Dec 2014</p>	<p>Auditor of the that Ministry in future. Ensured maximum number of participation through close supervision and independent evaluation undertook to assess the impact of the initiative carried out by partner organizations.</p>
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changes in activities and priorities of the Department.																		
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Implementing Partner (IP)

Signature of IP Official: 

Name and title (print): Mr. S.L.M. Hazeem-Senior Assistant Secretary

Date: 2015.02.13

Senior Assistant Secretary (S-1)
National Languages & Social Integration Division
Ministry of Public Administration, Provincial Councils,
Local Government & Democratic Governance
No. 40, Butiyamuwa Road, Rajagiriya

UNDP Country Office

Signature of UNDP Official: 

Name and title (print): Ms. Lovita Ramgutte-Deputy Country Director

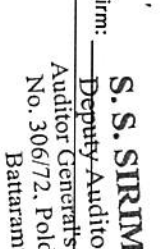
Date: 13/02/2015

Government Auditors/Audit Firm

Signature of Audit firm Official: 

Name and title (print):

Date: 16/2/2015

Stamp and Seal of audit firm: 
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